

**INDEPENDENT RATES OVERSIGHT COMMITTEE
CONFLICT OF INTEREST CODE**

**APPENDIX A
DESIGNATED POSITIONS, DUTIES AND CATEGORIES**

<u>POSITION</u>	<u>DUTIES</u>	<u>CATEGORY</u>
Member, Independent Rates Oversight Committee	Serve on IROC Panel. Duties include reviewing reports on expenditure of rate and bond proceeds; reviewing independent performance audits on City water and wastewater systems; advising Mayor and City Council about efficiency and performance of City water and wastewater systems; overseeing department savings efforts; providing annual report to Mayor and City Council.	1
Consultant to IROC	As specified in contract.	2

Appendix B

**INDEPENDENT RATES OVERSIGHT COMMITTEE
Statement of Economic Interest
Disclosure Categories**

Category	Description
1	<p>Investments and business positions in any firm or business entity that supplies goods or services to the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, that is granted authority by the City to use City facilities, or whose rates or charges for services are subject to approval by the City, or which is regulated by the California Public Utilities Commission or any of the federal regulatory agencies.</p> <p>Interests in real property owned or used by any person or business entity that supplies goods or services to the City, that is a tenant of the City, that is an adverse party to the City in a legal proceeding, or is granted authority by the City to use City facilities, or whose rates or charges for services are subject to approval by the City, or which is regulated by the California Public Utilities Commission or any of the federal regulatory agencies.</p> <p>Income and gifts from any person or business entity that supplies goods or services to the City, that is a tenant of the City, that is adverse party to the City in a legal proceeding, or is granted authority by the City to use City facilities, or whose rates or charges for services are subject to approval by the City, or which is regulated by the California Public Utilities Commission or any of the federal regulatory agencies.</p>
2	<p>Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:</p> <p>The Mayor, Chief Operating Officer or Assistant Chief Operating Officer may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based on that description, a statement of the extent of disclosure requirements. The Mayor's, Chief Operating Officer's or Assistant Chief Operating Officer's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.</p>